# A SAT Approach to Clique-Width 

Marijn Heule and Stefan Szeider

The University of Texas at Austin, Vienna University of Technology

July 12, 2013 @ SAT

## Motivation

Clique-width is a well-studied in fixed parameter tractability

- over 1200 articles on clique-width on Google Scholar
- small clique-width implies small runtime of various algorithms
- graphs with small clique-width can have arbitrary large tree-width

However, determining the clique-width of a graph is hard

- only very slow algorithms are known
- no existing implementation
- no polynomial-time approximating algorithms
- exact clique-width not known; even for many small graphs


## Motivation

Clique-width is a well-studied in fixed parameter tractability

- over 1200 articles on clique-width on Google Scholar
- small clique-width implies small runtime of various algorithms
- graphs with small clique-width can have arbitrary large tree-width

However, determining the clique-width of a graph is hard

- only very slow algorithms are known
- no existing implementation
- no polynomial-time approximating algorithms
- exact clique-width not known; even for many small graphs


## Contributions

- Reformulation of Clique-width Developed the concept of a $k$-derivation of a grpah
- SAT Encoding of Clique-width An efficient SAT encoding using $k$-derivations
- Representative Encoding

Arc-consistent encoding for conditional cardinality constraints

- Determined the clique-width of many graphs including all graphs up to 10 vertices and famous graphs


## Clique-width

## Clique-Width

k-graph
A graph whose vertices are labeled by integers from $\{1, \ldots, k\}$.

The clique-width of a graph $G$ is the smallest integer $k$ such that $G$ can be constructed from initial $k$-graphs by means of repeated application of the following three operations.
(1) Disjoint union (denoted by $\oplus$ );
(2) Relabeling: changing all labels $i$ to $j$ (denoted by $\rho_{i \rightarrow j}$ );
(3) Edge insertion: connecting all vertices labeled by $i$ with all vertices labeled by $j, i \neq j$ (denoted by $\eta_{i, j}$ or $\eta_{j, i}$ ).

## Examples

- Cliques (fully connected graphs) have clique-width 2
- Trees have clique-width of at most 3
- An $n \times n$ grid has clique-width $n-1$


## Clique-Width Examples

## Examples

- Cliques (fully connected graphs) have clique-width 2
- Trees have clique-width of at most 3
- An $n \times n$ grid has clique-width $n-1$


## Clique



## Tree



## Clique-Width into SAT Difficulties

The clique-width of a graph $G$ is the smallest integer $k$ such that $G$ can be constructed by repeated application of the following three operations.
(1) Disjoint union (denoted by $\oplus$ );
(2) Relabeling: changing all labels $i$ to $j$ (denoted by $\rho_{i \rightarrow j}$ );
(3) Edge insertion: connecting all vertices labeled by $i$ with all vertices labeled by $j, i \neq j$ (denoted by $\eta_{i, j}$ or $\eta_{j, i}$ ).

## Worst case number of operations

Given a graph $G(V, E)$ the number of operations is in worst case

- Disjoint union: $\mathcal{O}(|V|)$
- Relabeling: $\mathcal{O}(|V|)$
- Edge insertion: $\mathcal{O}(|E|)$ or $\mathcal{O}\left(|V|^{2}\right)$


## Reformulation

## Templates \& Derivations

Reformulation goal: abstract away the edge insertions

## Definition (Template)

Given a graph $G=(V, E)$, a template $T$ is a partition $V$ into components (induced subgraphs of $G$ ) and each component is partitioned into groups (vertices with the same label).

## Definition ( $k$-Derivation)

Given a graph $G=(V, E)$, a $k$-derivation of $G$ is a template sequence $\left(T_{0}, \ldots, T_{t}\right)$ with $\left|c m p\left(T_{0}\right)\right|=|V|,\left|c m p\left(T_{t}\right)\right|=1$, each component in $T_{i}$ has at most $k$ groups. Furthermore, if there is an edge between two groups in $T_{i}$, they must occur in the same component in $T_{i-1}$ and groups can only be merged if they have the same neighborhood with respect to all vertices in the other components.

## Example Derivation

Constraint between templates: If there is an edge between two groups, they must occur in the same component before they can be merged.

Merge group constraint: Groups can only be merged if they have the same neighborhood with respect to all vertices in the other components.
A 3-Derivation of a path of length 3: $(u)-(v)-(w)-(x)$

| time | $u$ | $v$ | w | $x$ | template |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $t=0$ | (1) | (1) | (1) | (1) | $\{\{\{u\}\},\{\{v\}\},\{\{w\}\},\{\{x\}\}\}$ |
| $t=1$ |  | (1) | (1) | (1) | $\{\{\{u\},\{v\}\},\{\{w\}\},\{\{x\}\}\}$ |
| $t=2$ |  |  | (1) | (1) | $\{\{\{u\},\{v\},\{w\}\},\{\{x\}\}\}$ |
| $t=3$ | (3) |  |  | (1) | $\{\{\{u, v\},\{w\},\{x\}\}\}$ |

## Encoding

## Encoding: Variable and Initial Clauses

## Variables:

- $c_{u, v, i}$ : vertices $u, v \in V$ are in the same component in template $T_{i}$.
- $g_{u, v, i}$ : vertices $u, v \in V$ are in the same group in template $T_{i}$.


## Initial Clauses:

- Initially all vertices are in different components
- Eventually all vertices are in the same component
- Vertices in a group are in the same component

- Vertices in a component remain in a component
- Vertices in a group remain in a group

- Being in a group or in a component is a transitive relation



## Encoding: Variable and Initial Clauses

Variables:

- $c_{u, v, i}:$ vertices $u, v \in V$ are in the same component in template $T_{i}$.
- $g_{u, v, i}:$ vertices $u, v \in V$ are in the same group in template $T_{i}$.


## Initial Clauses:

- Initially all vertices are in different components
- Eventually all vertices are in the same component
- Vertices in a group are in the same component
- Vertices in a component remain in a component

$$
\begin{aligned}
& \left(\bar{c}_{u, v, i-1} \vee c_{u, v, i}\right) \\
& \left(\bar{g}_{u, v, i-1} \vee g_{u, v, i}\right)
\end{aligned}
$$

- Vertices in a group remain in a group
- Being in a group or in a component is a transitive relation

$$
\begin{array}{r}
\left(\bar{c}_{u, v, i} \vee \bar{c}_{v, w, i} \vee c_{u, w, i}\right) \wedge\left(\bar{c}_{u}, v, i \vee \bar{c}_{u, w, i} \vee c_{v, w, i}\right) \wedge\left(\bar{c}_{u, w, i} \vee \bar{c}_{v, w, i} \vee c_{u, v, i}\right) \\
\left(\bar{g}_{u, v, i} \vee \bar{g}_{v, w, i} \vee g_{u, w, i}\right) \wedge\left(\bar{g}_{u, v, i} \vee \bar{g}_{u, w, i} \vee g_{v, w, i}\right) \wedge\left(\bar{g}_{u, w, i} \vee \bar{g}_{v, w, i} \vee g_{u, v, i}\right)
\end{array}
$$

## Encoding: Properties

$u 0-\mathrm{Q}$

## Edge Property

For $u, v \in V$ with $u v \in E$, if $u, v$ are in the same group $\left(c_{u, v, i-1} \vee \bar{g}_{u, v, i}\right)$ in $T_{i}$, then $u, v$ are in the same component in $T_{i-1}$.


## Neighborhood Property

For $u, v, w \in V$ with $u v \in E$ and $u w \notin E$, if $v, w$ are in the same group in $T_{i}$, then $u, v$ are in the same
$\left(c_{u, v, i-1} \vee \bar{g}_{v, w, i}\right) \quad$ component in $T_{i-1}$.

$\left(c_{u, v, i-1} \vee \bar{g}_{u, x, i} \vee \bar{g}_{v, w, i}\right)$

## Path Property

For $u, v, w, x \in V$, with $u v, u w, v x \in E$ and $w x \notin E$, if $u, x$ and $v, w$ are in the same group in $T_{i}$, then $u, v$ are in the same component in $T_{i-1}$.

## Encoding: Direct Encoding of Group Cardinality

Variable $I_{v, j, i}$ denotes that vertex $v$ has group number $j$ in template $T_{i}$.

$$
\bigwedge_{i \in\{1 . . t\}}\left(\bigwedge_{v \in V}\left(I_{v, 1, i} \vee \cdots \vee I_{v, k, i}\right) \wedge \bigwedge_{u, v \in V j \in\{1 . . k\}}\left(\bar{C}_{u, v, i} \bigwedge_{u, v, i} \vee \bar{I}_{u, j, i} \vee \bar{I}_{v, j, i}\right)\right)
$$



Consider the assignment $c_{u, v}=c_{u, w}=c_{u, x}=c_{v, w}=c_{v, x}=c_{w, x}=1$ and $g_{u, v}=g_{u, w}=g_{u, x}=g_{v, w}=g_{v, x}=g_{w, x}=0$. Notice: no conflict!

## Encoding: Direct Encoding of Group Cardinality

Variable $I_{v, j, i}$ denotes that vertex $v$ has group number $j$ in template $T_{i}$.
$\bigwedge_{i \in\{1 . t\}}\left(\bigwedge_{v \in V}\left(I_{v, 1, i} \vee \cdots \vee I_{v, k, i}\right) \wedge \bigwedge_{u, v \in V} \bigwedge_{j \in\{1 . . k\}}\left(\bar{c}_{u, v, i} \vee g_{u, v, i} \vee \bar{I}_{u, j, i} \vee \bar{I}_{V, j, j}\right)\right)$

Example: four vertices $u, v, w, x \in V$ and $k=3$ (no $i$ for readability) $\left(I_{u, 1} \vee I_{u, 2} \vee I_{u, 3}\right) \wedge\left(I_{v, 1} \vee I_{v, 2} \vee I_{v, 3}\right) \wedge\left(I_{\underline{w}, 1} \vee I_{w, 2} \vee I_{w, 3}\right) \wedge\left(I_{x, 1} \vee I_{\underline{x}, 2} \vee I_{\underline{x}, 3}\right) \wedge$ $\left(\bar{c}_{u, v} \vee g_{u, v} \vee \bar{I}_{u, 1} \vee \bar{I}_{v, 1}\right) \wedge\left(\bar{c}_{u, v} \vee g_{u, v} \vee \bar{I}_{u, 2} \vee \bar{I}_{v, 2}\right) \wedge\left(\bar{c}_{u, v} \vee g_{u, v} \vee \bar{I}_{u, 3} \vee \bar{I}_{v, 3}\right) \wedge$ $\left(\bar{c}_{u, w} \vee g_{u, w} \vee \bar{I}_{u, 1} \vee \bar{I}_{\underline{w}, 1}\right) \wedge\left(\bar{c}_{u, w} \vee g_{u, w} \vee \bar{I}_{u, 2} \vee \bar{I}_{w, 2}\right) \wedge\left(\bar{c}_{u, w} \vee g_{u, w} \vee \bar{I}_{u, 3} \vee \bar{I}_{w, 3}\right) \wedge$ $\left(\bar{c}_{u, \chi} \vee g_{u, x} \vee \bar{I}_{u, 1} \vee \bar{I}_{x, 1}\right) \wedge\left(\bar{c}_{u, x} \vee g_{u, x} \vee \bar{I}_{u, 2} \vee \bar{I}_{x, 2}\right) \wedge\left(\bar{c}_{u, x} \vee g_{u, x} \vee \bar{I}_{u, 3} \vee \bar{I}_{x, 3}\right) \wedge$ $\left(\bar{c}_{v, w} \vee g_{v, w} \vee \bar{I}_{v, 1} \vee \bar{I}_{w, 1}\right) \wedge\left(\bar{c}_{v, v} \vee g_{v, w} \vee \bar{I}_{v, 2} \vee \bar{I}_{w, 2}\right) \wedge\left(\bar{c}_{v, w} \vee g_{v, w} \vee \bar{I}_{v, 3} \vee \bar{I}_{w, 3}\right) \wedge$ $\left(\bar{c}_{v, x} \vee g_{v, x} \vee \bar{I}_{v, 1} \vee \bar{I}_{x, 1}\right) \wedge\left(\bar{c}_{v, v} \vee g_{v, x} \vee \bar{I}_{v, 2} \vee \bar{I}_{x, 2}\right) \wedge\left(\bar{c}_{v, x} \vee g_{v, x} \vee \bar{I}_{v, 3} \vee \bar{I}_{x, 3}\right) \wedge$ $\left(\bar{c}_{w, x} \vee g_{w, x} \vee \bar{I}_{w, 1} \vee \bar{l}_{x, 1}\right) \wedge\left(\bar{c}_{w, v} \vee g_{w, x} \vee \bar{I}_{w, 2} \vee \bar{l}_{x, 2}\right) \wedge\left(\bar{c}_{w, x} \vee g_{w, x} \vee \bar{I}_{w, 3} \vee \bar{l}_{x, 3}\right)$ Consider the assignment $c_{u, v}=c_{U, w}=c_{u, x}=c_{V, w}=c_{V, x}=c_{w, x}=1$ and

## Encoding: Direct Encoding of Group Cardinality

Variable $I_{v, j, i}$ denotes that vertex $v$ has group number $j$ in template $T_{i}$.
$\bigwedge\left(\bigwedge\left(I_{v, 1, i} \vee \cdots \vee I_{v, k, i}\right) \wedge \bigwedge \bigwedge\left(\bar{c}_{u, v, i} \vee g_{u, v, i} \vee \bar{I}_{u, j, i} \vee \bar{I}_{v, j, i}\right)\right)$ $i \in\{1 . . t\} \quad v \in V$ $u, v \in V j \in\{1 . . k\}$

Example: four vertices $u, v, w, x \in V$ and $k=3$ (no $i$ for readability) $\left(I_{u, 1} \vee I_{u, 2} \vee I_{u, 3}\right) \wedge\left(I_{v, 1} \vee I_{v, 2} \vee I_{v, 3}\right) \wedge\left(I_{\underline{w}, 1} \vee I_{w, 2} \vee I_{w, 3}\right) \wedge\left(I_{x, 1} \vee I_{\underline{x}, 2} \vee I_{\underline{x}, 3}\right) \wedge$ $\left(\bar{c}_{u, v} \vee g_{u, v} \vee \bar{I}_{u, 1} \vee \bar{I}_{v, 1}\right) \wedge\left(\bar{c}_{u, v} \vee g_{u, v} \vee \bar{I}_{U, 2} \vee \bar{I}_{v, 2}\right) \wedge\left(\bar{c}_{u, v} \vee g_{u, v} \vee \bar{I}_{u, 3} \vee \bar{I}_{v, 3}\right) \wedge$ $\left(\bar{c}_{u, w} \vee g_{u, w} \vee \bar{I}_{u, 1} \vee \bar{I}_{\underline{w}, 1}\right) \wedge\left(\bar{c}_{u, w} \vee g_{u, w} \vee \bar{I}_{u, 2} \vee \bar{I}_{w, 2}\right) \wedge\left(\bar{c}_{u, w} \vee g_{u, w} \vee \bar{I}_{u, 3} \vee \bar{I}_{w, 3}\right) \wedge$ $\left(\bar{c}_{u, \chi} \vee g_{u, \chi} \vee \bar{I}_{u, 1} \vee \bar{I}_{x, 1}\right) \wedge\left(\bar{c}_{u, x} \vee g_{u, \chi} \vee \bar{I}_{u, 2} \vee \bar{I}_{x, 2}\right) \wedge\left(\bar{c}_{u, \chi} \vee g_{u, x} \vee \bar{I}_{u, 3} \vee \bar{I}_{\underline{x}, 3}\right) \wedge$ $\left(\bar{c}_{v, w} \vee g_{v, w} \vee \bar{I}_{v, 1} \vee \bar{I}_{w, 1}\right) \wedge\left(\bar{c}_{v, v} \vee g_{v, w} \vee \bar{I}_{v, 2} \vee \bar{I}_{w, 2}\right) \wedge\left(\bar{c}_{v, w} \vee g_{v, w} \vee \bar{I}_{v, 3} \vee \bar{I}_{w, 3}\right) \wedge$ $\left(\bar{c}_{v, x} \vee g_{v, x} \vee \bar{I}_{v, 1} \vee \bar{I}_{x, 1}\right) \wedge\left(\bar{c}_{v, v} \vee g_{v, x} \vee \bar{I}_{v, 2} \vee \bar{I}_{x, 2}\right) \wedge\left(\bar{c}_{v, x} \vee g_{v, x} \vee \bar{I}_{v, 3} \vee \bar{I}_{x, 3}\right) \wedge$ $\left(\bar{c}_{w, \times} \vee g_{w, x} \vee \bar{I}_{w, 1} \vee \bar{I}_{x, 1}\right) \wedge\left(\bar{c}_{w, v} \vee g_{w, x} \vee \bar{I}_{w, 2} \vee \bar{I}_{x, 2}\right) \wedge\left(\bar{c}_{w, x} \vee g_{w, x} \vee \bar{I}_{w, 3} \vee \bar{I}_{x, 3}\right)$

Consider the assignment $c_{u, v}=c_{u, w}=c_{u, x}=c_{\nu, w}=c_{V, x}=c_{w, x}=1$ and $g_{u, v}=g_{u, w}=g_{u, x}=g_{v, w}=g_{v, x}=g_{v, x}=0$. Notice: no conflict!

## Encoding: Representative and Order Variables

Variable $r_{v, i}$ denotes that $v$ is the representative of its group in $T_{i}$.
Vertex $v$ represents group $g$ if and only if for all $u \in g$ holds that $u \geq v$ : $\left(r_{v, i} \vee \bigvee_{u \in V, u<v} g_{u, v, i}\right) \wedge \bigwedge_{u \in V, u<v}\left(\bar{r}_{v, i} \vee \bar{g}_{u, v, i}\right) \quad$ for $v \in V, 0 \leq i \leq t$

## Encoding: Representative and Order Variables

Variable $r_{v, i}$ denotes that $v$ is the representative of its group in $T_{i}$.
Vertex $v$ represents group $g$ if and only if for all $u \in g$ holds that $u \geq v$ :
$\left(r_{v, i} \vee \bigvee_{u \in V, u<v} g_{u, v, i}\right) \wedge \bigwedge_{u \in V, u<v}\left(\bar{r}_{v, i} \vee \bar{g}_{u, v, i}\right) \quad$ for $v \in V, 0 \leq i \leq t$

Variable $o_{v, j, i}^{>}$denotes that the group number of $v$ in $T_{i}$ is larger than $j$.
Easy to obtain the group number from order variables
$I_{v, 1, i}=1 \leftrightarrow 0000 \leftrightarrow o_{v, 1, i}^{>}=o_{v, 2, i}^{>}=o_{v, 3, i}^{>}=o_{v, 4, i}^{>}=0$
$I_{v, 2, i}=1 \leftrightarrow 1000 \leftrightarrow o_{v, 1, i}^{>}=1, o_{v, 2, i}^{>}=o_{v, 3, i}^{>}=o_{v, 4, i}^{>}=0$
$I_{v, 3, i}=1 \leftrightarrow 1100 \leftrightarrow o_{v, 1, i}^{>}=o_{v, 2, i}^{>}=1, o_{v, 3, i}^{>}=o_{v, 4, i}^{>}=0$
$I_{v, 4, i}=1 \leftrightarrow 1110 \leftrightarrow o_{v, 1, i}^{>}=o_{v, 2, i}^{>}=o_{v, 3, i}^{>}=1, o_{v, 4, i}^{>}=0$
$I_{v, 5, i}=1 \leftrightarrow 1111 \leftrightarrow o_{v, 1, i}^{>}=o_{v, 2, i}^{>}=o_{v, 3, i}^{>}=o_{v, 4, i}^{>}=1$

## Encoding: Representative Encoding of Group Cardinality

Combining representative and order variables with $u<v$ :

$$
\begin{aligned}
& \left(\bar{c}_{u, v, i} \vee \bar{r}_{u, i} \vee \bar{r}_{v, i} \vee \bar{o}_{u, k-1, i}^{>}\right) \wedge\left(\bar{c}_{u, v, i} \vee \bar{r}_{u, i} \vee \bar{r}_{v, i} \vee o_{v, 1, i}^{>}\right) \wedge \\
& \bigwedge_{1 \leq a<k-1}\left(\bar{c}_{u, v, i} \vee \bar{r}_{u, i} \vee \bar{r}_{v, i} \vee \bar{o}_{u, a, i}^{>} \vee o_{v, a+1, i}^{>}\right) \quad \text { for } u, v \in \vee, 0 \leq i \leq t .
\end{aligned}
$$

## Encoding: Representative Encoding of Group Cardinality

Combining representative and order variables with $u<v$ :

$$
\begin{aligned}
& \left(\bar{c}_{u, v, i} \vee \bar{r}_{u, i} \vee \bar{r}_{v, i} \vee \bar{o}_{u, k-1, i}^{>}\right) \wedge\left(\bar{c}_{u, v, i} \vee \bar{r}_{u, i} \vee \bar{r}_{v, i} \vee o_{v, 1, i}^{>}\right) \wedge \\
& \bigwedge_{1 \leq a<k-1}\left(\bar{c}_{u, v, i} \vee \bar{r}_{u, i} \vee \bar{r}_{v, i} \vee \bar{o}_{u, a, i}^{>} \vee o_{v, a+1, i}^{>}\right) \quad \text { for } u, v \in \vee, 0 \leq i \leq t .
\end{aligned}
$$

Example: four vertices $u, v, w, x \in V$ and $k=3$ (no $i$ for readability) $\left(\bar{c}_{u, v} \vee \bar{r}_{u} \vee \bar{r}_{v} \vee \bar{o}_{u, 2}^{>}\right) \wedge\left(\bar{c}_{u, v} \vee \bar{r}_{u} \vee \bar{r}_{v} \vee o_{v, 1}^{>}\right) \wedge\left(\bar{c}_{u, v} \vee \bar{r}_{u} \vee \bar{r}_{v} \vee \bar{o}_{u, 1}^{>} \vee o_{v, 2}^{>}\right) \wedge$ $\left(\bar{c}_{u, w} \vee \bar{r}_{u} \vee \bar{r}_{w} \vee \bar{o}_{u, 2}^{>}\right) \wedge\left(\bar{c}_{u, w} \vee \bar{r}_{u} \vee \bar{r}_{w} \vee o_{w, 1}^{>}\right) \wedge\left(\bar{c}_{u, w} \vee \bar{r}_{u} \vee \bar{r}_{w} \vee \bar{o}_{u, 1}^{>} \vee o_{w, 2}^{>}\right) \wedge$ $\left(\bar{c}_{u, x} \vee \bar{r}_{u} \vee \bar{r}_{x} \vee \bar{o}_{u, 2}^{>}\right) \wedge\left(\bar{c}_{u, x} \vee \bar{r}_{u} \vee \bar{r}_{x} \vee o_{x, 1}^{>}\right) \wedge\left(\bar{c}_{u, x} \vee \bar{r}_{u} \vee \bar{r}_{x} \vee \bar{o}_{u, 1}^{>} \vee o_{x, 2}^{>}\right) \wedge$ $\left(\bar{c}_{v, w} \vee \bar{r}_{v} \vee \bar{r}_{w} \vee \bar{o}_{v, 2}^{>}\right) \wedge\left(\bar{c}_{v, w} \vee \bar{r}_{v} \vee \bar{r}_{w} \vee o_{w, 1}^{>}\right) \wedge\left(\bar{c}_{v, w} \vee \bar{r}_{v} \vee \bar{r}_{w} \vee \bar{o}_{v, 1}^{>} \vee o_{w, 2}^{>}\right) \wedge$ $\left(\bar{c}_{v, x} \vee \bar{r}_{v} \vee \bar{r}_{x} \vee \bar{o}_{v, 2}^{>}\right) \wedge\left(\bar{c}_{v, x} \vee \bar{r}_{v} \vee \bar{r}_{x} \vee o_{x, 1}^{>}\right) \wedge\left(\bar{c}_{v, x} \vee \bar{r}_{v} \vee \bar{r}_{x} \vee \bar{o}_{v, 1}^{>} \vee o_{x, 2}^{>}\right) \wedge$ $\left(\bar{c}_{w, x} \vee \bar{r}_{w} \vee \bar{r}_{x} \vee \bar{o}_{w, 2}^{>}\right) \wedge\left(\bar{c}_{w, x} \vee \bar{r}_{w} \vee \bar{r}_{x} \vee o_{x, 1}^{>}\right) \wedge\left(\bar{c}_{w, x} \vee \bar{r}_{w} \vee \bar{r}_{x} \vee \bar{o}_{w, 1}^{>} \vee o_{x, 2}^{>}\right)$

## Encoding: Representative Encoding of Group Cardinality

Combining representative and order variables with $u<v$ :
$\left(\bar{c}_{u, v, i} \vee \bar{r}_{u, i} \vee \bar{r}_{v, i} \vee \bar{o}_{u, k-1, i}^{>}\right) \wedge\left(\bar{c}_{u, v, i} \vee \bar{r}_{u, i} \vee \bar{r}_{v, i} \vee o_{v, 1, i}^{>}\right) \wedge$
$\bigwedge_{1 \leq a<k-1}\left(\bar{c}_{u, v, i} \vee \bar{r}_{u, i} \vee \bar{r}_{v, i} \vee \bar{o}_{u, a, i}^{>} \vee o_{v, a+1, i}^{>}\right) \quad$ for $u, v \in V, 0 \leq i \leq t$.

Example: four vertices $u, v, w, x \in V$ and $k=3$ (no $i$ for readability) $\left(\bar{c}_{u, v} \vee \bar{r}_{u} \vee \bar{r}_{v} \vee \bar{o}_{u, 2}^{>}\right) \wedge\left(\bar{c}_{u, v} \vee \bar{r}_{u} \vee \bar{r}_{v} \vee o_{v, 1}^{>}\right) \wedge\left(\bar{c}_{u, v} \vee \bar{r}_{u} \vee \bar{r}_{v} \vee \bar{o}_{u, 1}^{>} \vee o_{v, 2}^{>}\right) \wedge$ $\left(\bar{c}_{u, w} \vee \bar{r}_{u} \vee \bar{r}_{w} \vee \bar{o}_{u, 2}^{>}\right) \wedge\left(\bar{c}_{u, w} \vee \bar{r}_{u} \vee \bar{r}_{w} \vee o_{w, 1}^{>}\right) \wedge\left(\bar{c}_{u, w} \vee \bar{r}_{u} \vee \bar{r}_{w} \vee \bar{o}_{u, 1}^{>} \vee o_{w, 2}^{>}\right) \wedge$ $\left(\bar{c}_{u, x} \vee \bar{r}_{u} \vee \bar{r}_{x} \vee \bar{o}_{u, 2}^{>}\right) \wedge\left(\bar{c}_{u, x} \vee \bar{r}_{u} \vee \bar{r}_{x} \vee o_{x, 1}^{>}\right) \wedge\left(\bar{c}_{u, x} \vee \bar{r}_{u} \vee \bar{r}_{x} \vee \bar{o}_{u, 1}^{>} \vee o_{x, 2}^{>}\right) \wedge$ $\left(\bar{c}_{v, w} \vee \bar{r}_{v} \vee \bar{r}_{w} \vee \bar{o}_{v, 2}^{>}\right) \wedge\left(\bar{c}_{v, w} \vee \bar{r}_{v} \vee \bar{r}_{w} \vee o_{w, 1}^{>}\right) \wedge\left(\bar{c}_{v, w} \vee \bar{r}_{v} \vee \bar{r}_{w} \vee \bar{o}_{v, 1}^{>} \vee o_{w, 2}^{>}\right) \wedge$ $\left(\bar{c}_{v, x} \vee \bar{r}_{v} \vee \bar{r}_{x} \vee \bar{o}_{v, 2}^{>}\right) \wedge\left(\bar{c}_{v, x} \vee \bar{r}_{v} \vee \bar{r}_{x} \vee o_{x, 1}^{>}\right) \wedge\left(\bar{c}_{v, x} \vee \bar{r}_{v} \vee \bar{r}_{x} \vee \bar{o}_{v, 1}^{>} \vee o_{x, 2}^{>}\right) \wedge$ $\left(\bar{c}_{w, x} \vee \bar{r}_{w} \vee \bar{r}_{x} \vee \bar{o}_{w, 2}^{>}\right) \wedge\left(\bar{c}_{w, x} \vee \bar{r}_{w} \vee \bar{r}_{x} \vee o_{x, 1}^{>}\right) \wedge\left(\bar{c}_{w, x} \vee \bar{r}_{w} \vee \bar{r}_{x} \vee \bar{o}_{w, 1}^{>} \vee o_{x, 2}^{>}\right)$

Consider the assignment $c_{u, v}=c_{u, w}=c_{u, x}=c_{v, w}=c_{v, x}=c_{w, x}=1$ and $r_{u}=r_{v}=r_{w}=r_{x}=1$.

## Encoding: Representative Encoding of Group Cardinality

Combining representative and order variables with $u<v$ :
$\left(\bar{c}_{u, v, i} \vee \bar{r}_{u, i} \vee \bar{r}_{v, i} \vee \bar{o}_{u, k-1, i}^{>}\right) \wedge\left(\bar{c}_{u, v, i} \vee \bar{r}_{u, i} \vee \bar{r}_{v, i} \vee o_{v, 1, i}^{>}\right) \wedge$
$\bigwedge_{1 \leq a<k-1}\left(\bar{c}_{u, v, i} \vee \bar{r}_{u, i} \vee \bar{r}_{v, i} \vee \bar{o}_{u, a, i}^{>} \vee o_{v, a+1, i}^{>}\right) \quad$ for $u, v \in V, 0 \leq i \leq t$.

Example: four vertices $u, v, w, x \in V$ and $k=3$ (no $i$ for readability) $\left(\bar{c}_{u, v} \vee \bar{r}_{u} \vee \bar{r}_{v} \vee \bar{o}_{u, 2}\right) \wedge\left(\bar{c}_{u, v} \vee \bar{r}_{u} \vee \bar{r}_{v} \vee o_{v, 1}^{>}\right) \wedge\left(\bar{c}_{u, v} \vee \bar{r}_{u} \vee \bar{r}_{v} \vee \bar{o}_{u, 1}^{>} \vee o_{v, 2}^{>}\right) \wedge$ $\left(\bar{c}_{u, w} \vee \bar{r}_{u} \vee \bar{r}_{w} \vee \bar{o}_{u, 2}\right) \wedge\left(\bar{c}_{u, w} \vee \bar{r}_{u} \vee \bar{r}_{w} \vee o_{w, 1}^{>}\right) \wedge\left(\bar{c}_{u, w} \vee \bar{r}_{u} \vee \bar{r}_{w} \vee \bar{o}_{u, 1}^{\gg 1} \vee o_{w, 2}^{>}\right) \wedge$ $\left(\bar{c}_{u, x} \vee \bar{r}_{u} \vee \bar{r}_{x} \vee \bar{o}_{u, 2}\right) \wedge\left(\bar{c}_{u, x} \vee \bar{r}_{u} \vee \bar{r}_{x} \vee o_{x, 1}^{>}\right) \wedge\left(\bar{c}_{u, x} \vee \bar{r}_{u} \vee \bar{r}_{x} \vee \bar{o}_{u, 1}^{>} \vee o_{x, 2}^{>}\right) \wedge$ $\left(\bar{c}_{v, w} \vee \bar{r}_{v} \vee \bar{r}_{w} \vee \bar{o}_{v, 2}\right) \wedge\left(\bar{c}_{v, w} \vee \bar{r}_{v} \vee \bar{r}_{w} \vee o_{w, 1}^{*}\right) \wedge\left(\bar{c}_{v, w} \vee \bar{r}_{v} \vee \bar{r}_{w} \vee \bar{o}_{v, 1}^{>} \vee o_{w, 2}^{>}\right) \wedge$ $\left(\bar{c}_{v, x} \vee \bar{r}_{v} \vee \bar{r}_{x} \vee \bar{o}_{v, 2}\right) \wedge\left(\bar{c}_{v, x} \vee \bar{r}_{v} \vee \bar{r}_{x} \vee o_{x, 1}\right) \wedge\left(\bar{c}_{v, x} \vee \bar{r}_{v} \vee \bar{r}_{x} \vee \bar{o}_{v, 1}^{>} \vee o_{x, 2}^{>}\right) \wedge$ $\left(\bar{c}_{w, x} \vee \bar{r}_{w} \vee \bar{r}_{x} \vee \bar{o}_{w, 2}^{>}\right) \wedge\left(\bar{c}_{w, x} \vee \bar{r}_{w} \vee \bar{r}_{x} \vee o_{x, 1}^{>}\right) \wedge\left(\bar{c}_{w, x} \vee \bar{r}_{w} \vee \bar{r}_{x} \vee \bar{o}_{w, 1}^{>} \vee o_{x, 2}^{>}\right)$

Consider the assignment $c_{u, v}=c_{u, w}=c_{u, x}=c_{v, w}=c_{v, x}=c_{w, x}=1$ and $r_{u}=r_{v}=r_{w}=r_{x}=1$. Unit propagation results in a conflict!

## Results

## Results overview

For all experiments we used the Glucose 2.2 solver. All formulas were generated using the representative encoding of $k$-derivations.

| $k$ | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| direct | 638.5 | 18,337 | TO | TO | TO | TO | 30.57 | 0.67 | 0.50 |
| repres | 12.14 | 33.94 | 102.3 | 358.6 | 9.21 | 0.40 | 0.35 | 0.32 | 0.29 |

Three types of graphs:

- Random graphs with different edge probabilities
- All prime graphs with 10 vertices or less
- Famous graphs


## Results overview

For all experiments we used the Glucose 2.2 solver. All formulas were generated using the representative encoding of $k$-derivations.

| $k$ | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| direct | 638.5 | 18,337 | TO | TO | TO | TO | 30.57 | 0.67 | 0.50 |
| repres | 12.14 | 33.94 | 102.3 | 358.6 | 9.21 | 0.40 | 0.35 | 0.32 | 0.29 |

To determine the clique-width of a graph $G=(V, E)$, we initialized $k=|V|$ and decreased $k$ until the corresponding formula was unsatisfiable.

Three types of graphs:

- Random graphs with different edge probabilities
- All prime graphs with 10 vertices or less
- Famous graphs


## Random Graphs



## Clique-Width Numbers

|  |  |  | clique-width |  |  |  |  |  |
| :---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | :---: |
| $\|V\|$ | connected | prime | 2 | 3 | 4 | 5 | 6 |  |
| 4 | 6 | 1 | 0 | $\mathbf{1}$ | 0 | 0 | 0 |  |
| 5 | 21 | 4 | 0 | 4 | 0 | 0 | 0 |  |
| 6 | 112 | 26 | 0 | 25 | $\mathbf{1}$ | 0 | 0 |  |
| 7 | 853 | 260 | 0 | 210 | 50 | 0 | 0 |  |
| 8 | 11,117 | 4,670 | 0 | 1,873 | 2,790 | $\mathbf{7}$ | 0 |  |
| 9 | 261,080 | 145,870 | 0 | 16,348 | 125,364 | 4,158 | 0 |  |
| 10 | $11,716,571$ | $8,110,354$ | 0 | 142,745 | $5,520,350$ | $2,447,190$ | $\mathbf{6 8}$ |  |

## Proposition

The clique-width sequence starts with the numbers $1,2,4,6,8,10,11$.

## Smallest Graphs with Clique-Width 3, 4, 5, and 6



## Famous Graphs

| graph | $\|V\|$ | $\|E\|$ | cwd | variables | clauses | UNSAT | SAT |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| Brinkmann | 21 | 42 | 10 | 8,526 | 163,065 | 3,933 | 1.79 |
| Clebsch | 16 | 40 | 8 | 3,872 | 60,520 | 191 | 0.09 |
| Desargues | 20 | 30 | 8 | 7,800 | 141,410 | 3,163 | 0.26 |
| Dodecahedron | 20 | 30 | 8 | 7,800 | 141,410 | 5,310 | 0.33 |
| Errera | 17 | 45 | 8 | 4,692 | 79,311 | 82 | 0.16 |
| Flower snark | 20 | 30 | 7 | 8,000 | 148,620 | 276 | 3.90 |
| Folkman | 20 | 40 | 5 | 8,280 | 168,190 | 12 | 0.36 |
| Kittell | 23 | 63 | 8 | 12,006 | 281,310 | 179 | 18.65 |
| McGee | 24 | 36 | 8 | 13,680 | 303,660 | 8,700 | 59.89 |
| Paley-13 | 13 | 39 | 9 | 1,820 | 22,776 | 13 | 0.05 |
| Paley-17 | 17 | 68 | 11 | 3,978 | 72,896 | 194 | 0.12 |
| Pappus | 18 | 27 | 8 | 5,616 | 90,315 | 983 | 0.14 |
| Robertson | 19 | 38 | 9 | 6,422 | 112,461 | 478 | 0.76 |

## Conclusions

Encoded the clique-with problem into SAT

- Conventional formulation is not suitable for encoding
- Reformulation based on derivations enables parallel operations
- Representative encoding is much more efficient than direct encoding


## Results

- Discovered the smallest graphs with clique-width 4,5 , and 6
- Observed the influence of the edge-probability on the clique-width
- Determined the clique-width of several famous graphs

Future work

- Evaluate the effectiveness of heuristics for clique-width
- Use the results for theoretical investigations
- Approximating clique-width by limiting the number of steps


# A SAT Approach to Clique-Width 

Marijn Heule and Stefan Szeider

The University of Texas at Austin, Vienna University of Technology

July 12, 2013 @ SAT

